

# Fee Schedule

FA



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Responsibility in Wealth

## Remuneration

All services provided by Kaiser Partner Privatbank AG ("Bank") are, per account, subject to remuneration. If not otherwise agreed between Client and Bank, the Bank shall be remunerated according to this Fee Schedule.

Fees are invoiced or charged directly against the Client's account every quarter and consist of:

- The agreed package fee, plus external/third-party costs as separately shown on the Bank's invoices (e.g. broker or exchange fees, stamp duty or coupon tax, value added tax, etc.)
- The additional, event/service driven fees

The Client gets a separate written confirmation that shows the composition and amount of the remuneration, especially the agreed package fee.

This Fee Schedule enters into force on January 1, 2024.

The Bank reserves the right to make changes to the Fee Schedule at any time. The Client will be informed of such changes in writing or by other appropriate means. The changed Fee Schedule will enter into force within 30 days if the Client does not object within 30 days in writing.

## Package "Trading Special"

In the Package "Trading Special", the Package Fee consists of the regulatory base fee, the basic fee and any ticket fees.

<b>Regulatory base fee</b>	CHF 100 / quarter
<b>Basic fee<sup>1</sup></b>	
The pricing tiers are based on the value of assets held at the Bank (in CHF or equivalent)	
up to 1.0 million	0.48%
up to 2.5 million	0.46%
up to 5.0 million	0.44%
up to 10.0 million	0.42%
up to 15.0 million	0.40%
from 15.0 million	0.38%
 Minimum	 CHF 500 / quarter
<b>Ticket Fee<sup>2</sup></b>	CHF 150 / transaction

### Services included in the basic fee

- Account fee (all currencies incl. CPC-metal accounts)
- Custody account fee
- Quarterly or monthly account statement and portfolio statement and, as requested, generic tax statement (annually)
- Turnover commission

<sup>1</sup> The volume for the calculation of the basic fee consists of all assets (e.g. cash, financial instruments, any time deposits and call money, etc....) held at the Bank. To allocate the volume in the above mentioned pricing tiers, the Bank calculates the effective volume at a specific date towards the end of each month. The quarterly basic fee payable consists of the three effective volumes in such quarter.

<sup>2</sup> The ticket fee is due for each transaction but transactions in money market investments (fiduciary call-money and time deposit), derivative, foreign exchange and precious metal instruments.

## Additional event/service driven fees

### For account, custody and investment-related services

#### Account statements

- |                                    |                  |
|------------------------------------|------------------|
| • Quarterly and monthly statements | no charge        |
| • Weekly statements                | CHF 15 / quarter |
| • Daily statements                 | CHF 20 / quarter |

#### Turnover commission

- |  |                         |
|--|-------------------------|
| • debit transactions                                       | 0.10% / transaction     |
| • Maximum commission                                       | CHF 4'000 / transaction |
| • Sale of securities, foreign exchange and precious metals | no commission           |

#### Money market investments

##### *Nostro call-money<sup>3</sup> and time deposit<sup>4</sup>*

- |                                   |            |
|-----------------------------------|------------|
| • In CHF/EUR/USD/GBP              | no charge  |
| • Other currency                  | on request |
| • Traded money market instruments | on request |

##### *Fiduciary call-money and time deposit<sup>5</sup>*

- |  |                                  |
|--|----------------------------------|
| • up to CHF 500'000 or equivalent              | 0.500%                           |
| • up to CHF 1'000'000 or equivalent            | 0.375%                           |
| • from CHF 1'000'000 or equivalent             | 0.250%                           |
| Minimum fiduciary time deposit                 | CHF 200 / investment             |
| Minimum fiduciary call-money                   | CHF 200 / investment per quarter |
| In- and decreasing orders fiduciary call-money | CHF 75 / investment              |

#### Digital Assets or tokens

- |  |                          |
|--|--------------------------|
| • Custody Fee                                | 0.30%, min. CHF 100 p.a. |
| ○ additional Custody Fee for NFTs (ERC-721): | +0.30% p.a.              |
| • Trading Fee                                | 0.60%, min. CHF 100      |
| • Transfers in                               | At cost and by agreement |
| • Transfers out                              | CHF 250                  |

#### Derivatives

##### *EUREX traded options*

- |         |                             |                       |
|---------|-----------------------------|-----------------------|
| • first | CHF 10'000 (contract value) | 1.10%                 |
| • next  | CHF 15'000                  | 0.80%                 |
| • next  | CHF 25'000                  | 0.50%                 |
| • from  | CHF 50'000                  | 0.30%                 |
| Minimum |                             | CHF 100 / transaction |

<sup>3</sup> The minimum amount is CHF 250'000 or its equivalent; Increase possible in steps at 1'000.

<sup>4</sup> Maturity <30 days: The minimum amount is CHF 250'000 or its equivalent; Increase possible in steps at 1'000.

Maturity ≥ 30 days: The minimum amount is CHF 100'000 or its equivalent; Increase possible in steps at 1'000.

<sup>5</sup> The minimum amount is CHF 250'000 or its equivalent; Increase possible in steps at 1'000.

## *Non-EUREX traded options*

• < 10 contracts	CHF 30 / contract
• 11-19 contracts	CHF 25 / contract
• > 20 contracts	CHF 20 / contract
Minimum	CHF 100 / transaction

## *Financial futures*

on request

## Foreign exchange and precious metal investments

spread

• up to CHF 100'000 or equivalent	1.00%
• up to CHF 250'000 or equivalent	0.20%
• more than CHF 250'000 or equivalent	0.15%

## **Payment-related services**

### Payments

• Incoming	no charge
• Outgoing in CHF/EUR (excl. third-party costs)	no charge*
• Outgoing in USD	CHF 40 / payment**
• Outgoing in other currencies	CHF 40 / payment**
• Fee for assuming 3 <sup>rd</sup> party fees	CHF 10 / payment
• SWIFT	CHF 25 / confirmation
• Express execution	CHF 30 / execution

\* This only applies to electronic payment orders via e-banking. Orders transmitted in any other way (e.g. by letter, e-mail, fax, telephone, oral presentation, etc...) will be charged at CHF 35 / payment.

\*\* This only applies to electronic payment orders via e-banking. Orders transmitted in any other way (e.g. by letter, e-mail, fax, telephone, oral presentation, etc...) will be charged at CHF 90 / payment.

### Checks

• Collection (subject to receipt of payment)	CHF 200
• Issuing	CHF 200
• Blocking	CHF 50

### Cash transactions (agio / disagio)

• CHF	0.1%
• EUR	0.25%
• Other currencies	0.50%

## **For securities-related services**

### Securities deliveries

• External deliveries (inbound)	no charge
• Internal deliveries (inbound)	no charge
• External deliveries (outbound)	CHF 150 / position
• Internal deliveries (outbound)	no charge
• Physical/precious metals deliveries (inbound)	CHF 150 / position
• Physical/precious metals deliveries (outbound)	CHF 150 / position

## Other securities-related services

- Management of a segregated account for LP-instruments CHF 250 / year
- Management of a segregated account at a CSD CHF 10'000 / year

## Services relating to tax reports

### Tax-related documents

- Tax confirmation FL/AT CHF 100 / confirmation
- German tax confirmation (tax reclaim) CHF 100 / confirmation
- Swiss tax report CHF 250 / report
- German tax report (individual person) CHF 250 / report
- German tax report (legal entity) CHF 500 / report
- Income and capital gain statement (USA) CHF 750 / report
- Transaction overview (UK) CHF 250 / report

## Other services

### Guarantees

- Guarantee commission 0.25% / quarter
- Minimum CHF 150 / year

### Credit card

see Guarantees (above)

### Bank confirmations

- Bank confirmation CHF 100
- Bank reference CHF 100
- Proof of capital CHF 150

### Special transmission instructions

- Singularly (or) CHF 25 / transmission
- Lump-sum CHF 500 / year
- Delivery of retained correspondence CHF 100 / transmission

### Dormant assets

- Handling time CHF 150 / hour
- Minimum CHF 450 / year

### Safe deposit box

- Rent
 

type	dimension (height x width x depth; in cm)	rent / year
1	7.5 x 30 x 43.5	CHF 70
2	15 x 30 x 43.5	CHF 140
3	30 x 30 x 43.5	CHF 220
4	30 x 60 x 43.5	CHF 450
5	40 x 30 x 43.5	CHF 350
6	40 x 60 x 43.5	CHF 500
7	60 x 60 x 43.5	CHF 800
- Custodianship of safe deposit box keys CHF 50 / year

### Other services and actions

- Handling time CHF 150 / hour
- Minimum fee CHF 150 / event